# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| at E   |  |                                 |                      |  |   |  |  |
|--|--|---------------------------------|----------------------|--|---|--|--|
| TRADEMARK In re Application of                                 | Bateman et al.   | )                               | Art Unit:            | 2881   |   |  |  |
| Serial Number:   | 09/995,662   | )                               | Examiner:            | C. Kalivoda                                      |   |  |  |
| Filed:   | November 29, 200   | 1                               | Atty Docket:         | DEH009   |   |  |  |
| For: Mass Spectro  | For: Mass Spectrometers and Methods of Mass Spectrometry |                                 |                      |  |   |  |  |
| COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450 |  |                                 |                      |  |   |  |  |
| Sir:   |  |                                 |                      |  |   |  |  |
| The below identified com                                       | munication(s) or docume                                  | ent(s) is(a                     | re) submitted in the | above application or proceeding:                 |   |  |  |
| Amendment/Response   |  | ☐ Issue Fee Transmittal         |                      |  |   |  |  |
| Supplemental Information Disclosure                            |  | Check in the Amount of \$180.00 |                      |  |   |  |  |
| Statement with 2 ref.  |  | Assignment                      |                      |  |   |  |  |
|  |  | Asso                            | ciate Power of Attor | rney   |   |  |  |
|  | it Account Number 04-1<br>s sheet is provided for use    |                                 | • •                  | plus in connection with this communication. nch. | A |  |  |

Everett G. Diederiks, Jr.
Attorney for Applicant
Registration Number: 33,323

Respectfully submitted,

Date: July 7, 2004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of Bateman et al.

**Art Unit:** 

2881

**Serial Number:** 

09/995,662

Examiner:

C. Kalivoda

Filed:

November 29, 2001

) Atty Docket: DEH009

For:

Mass Spectrometers and Methods of Mass Spectrometry

Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Rule 37 C.F.R. §1.51(b), §1.56, §1.97, and §1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449 with a copy thereof. This information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to § 1.17(i)(1) are submitted herewith.

#### CERTIFICATION

It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

07/09/2004 EFLORES 00000122 09995662

01 FC:1808

180.00 09

Everett G. Diederiks, Jr.

Respectfully submitted,

Attorney for Applicant Registration No. 33,323

Date: July 7, 2004 FORM PTO 1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Bateman et al.

FILING DATE GROUP November 29, 2001

U.S. Patent Documents

U.S. Patentee/Applicant Class Subclass Filing Date if Appropriate

| Examiner<br>Initial | Document Number | Date | Patentee/Applicant | Class | Subclass | Filing Date if<br>Appropriate |
|---------------------|-----------------|------|--------------------|-------|----------|-------------------------------|
|                     |                 |      |                    |       |          |                               |
|                     |                 |      |                    |       |          |                               |
|                     |                 |      |                    |       |          |                               |
|                     |                 |      |                    |       |          |                               |
|                     |                 |      |                    |       |          | ·                             |
|                     |                 |      |                    |       |          |                               |
|                     |                 |      |                    |       |          |                               |
|                     |                 |      |                    |       |          |                               |

## **Foreign Patent Documents**

| Examiner                              | Document Number | Publication | Country/Agency |  | Subclass | Translation |    |
|---------------------------------------|-----------------|-------------|----------------|--|----------|-------------|----|
| Initial                               |                 | Date        |                |  |          | Yes         | No |
|                                       |                 |             |                |  |          |             |    |
|                                       |                 |             |                |  |          |             |    |
|                                       |                 |             |                |  |          |             |    |
|                                       |                 |             |                |  |          |             |    |
| · · · · · · · · · · · · · · · · · · · |                 | _           |                |  |          |             |    |
| -   -                                 |                 | <u> </u>    |                |  |          |             |    |
|                                       |                 |             |                |  |          |             |    |
|                                       |                 |             |                |  |          |             |    |
|                                       |                 | + -         |                |  |          |             |    |
|                                       |                 |             |                |  |          |             |    |
|                                       |                 |             |                |  |          |             |    |

### Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

| Guan et al., "Stacked-Ring Electrostatic Ion Guide", American Society for Mass Spectrometry, Vol. 7, No. 1, pp. 101-106, 1996.   |
|--|
| Belov et al., "Initial Implementation of an Electrodynamic Ion Funnel With Fourier Transform Ion Cyclotron Resonance Mass Spectrometry", Journal of the American Society for Mass Spectrometry, Vol. 11, No. 1, pp. 19-23, 2000. |
|  |

| Examiner | Date Considered |
|----------|-----------------|
|          |                 |
|          |                 |

Examiner: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.